Flexible Polymer Sensors for In Vivo Intravascular Shear Stress Analysis
Hongyu Yu, Lisong Ai, Mahsa Rouhanizadeh, Darhsin Patel, Eun Sok Kim, and Tzung K. Hsiai

Abstract—Hemodynamic forces, specifically fluid shear stress, play an important role in the focal nature of arterial plaque formation known as atherosclerosis. We hereby developed biocompatible and flexible intravascular microelectromechanical systems sensor to measure real-time shear stress in the aortas of New Zealand White (NZW) rabbits. Titanium (Ti) and platinum (Pt) were deposited on silicon wafers and patterned to form the sensing elements. The polymer, parylene C, provided insulation to the electrode leads and flexibility to the sensors. Based on heat transfer principle, the heat dissipation from the sensors to the blood flow altered the resistance of the sensing elements, from which shear stress was calibrated. The resistance of the sensing element was measured at approximately 1.0 kΩ, and the temperature coefficient of resistance was at approximately 0.16%/°C. The individual sensors were packaged to the catheter for intravascular deployment in the aortas of NZW rabbits (n = 5). The sensor was capable of resolving spatial- and time-varying components of shear stress in the abdominal aorta. Computational fluid dynamic code based on non-Newtonian fluid properties showed comparable results within an acceptable range of experimental errors (±9%) for the maximal and minimal values in shear stress during one cardiac cycle. Therefore, we demonstrated the capability of biocompatible sensors for real-time shear stress measurement in vivo with a potential to advance the understanding between the blood flow and vascular disease.

Index Terms—Microelectromechanical systems (MEMS) sensors, polymer, rabbit arterial circulation, shear stress.

I. INTRODUCTION

CORONARY heart disease remains the leading cause of death worldwide according to the World Health Organization [1]. Hemodynamic forces, specifically fluid shear stress, play an important role in the development of coronary artery disease [2]–[7]. The development of microelectromechanical systems (MEMS) sensors provides an entry point for assessment of small-scaled hemodynamics with high spatial and temporal variations [8] otherwise difficult with computed tomography, magnetic resonance imaging, ultrasound, and laser Doppler velocimetry.

Fluid shear stress (τ) is a frictional force per unit area that is tangential to the surface of vascular endothelial cells. For a Newtonian fluid at steady state, shear stress is defined as

\[ \tau = \mu \frac{du}{dy} \]

where \( \mu \) represents viscosity and \( \frac{du}{dy} \) is the vertical velocity gradient along the y-axis perpendicular to the wall surface [9]. Two main methods for shear stress measurement have been developed. The first is to build a floating sensing element [10]. The advantage of such a design is direct measurements rather than indirect correlations between shear stress and other parameters, such as heat transfer. However, the sensing elements of floating sensors are fragile and usually difficult to fabricate. Their operation requires additional mechanical devices to amplify signal transduction in response to minute movements. The second is thermal anemometry [11]. The operation principle is based on convective cooling of a heated sensing element as fluid flows over its surface (Fig. 1).

The heat transfer from the heated surface to the fluid depends on the flow characteristics in the viscous region of the boundary layer [12]. The advantages of this technique are simplicity in fabrication, absence of moving elements, and good sensitivity. Thus, the latter method provides a basis to develop micro intravascular sensors on a single silicon wafer for high-throughput production.

MEMS shear stress sensors have been developed for aerodynamics and fluid mechanics [11], [12]. A flexible shear-stress-sensor skin containing a 1-D array of 36 shear stress sensors has been designed to acquire shear stress measurement on the nonplanar surfaces [13]. We have previously fabricated MEMS shear stress sensor with backside wire bonding to address microscale hemodynamics with high temporal and spatial resolutions [8]. However, to assess shear stress in the complicated arterial geometry in the presence of time-varying component of blood flow, we hereby developed a new generation of polymer-based sensors that are flexible, biocompatible, and deployable into the arterial system.

Titanium (Ti) and platinum (Pt) layers embedded in the flexible polymer were used as the sensing elements. Based on heat transfer principle, heat convection from the resistively heated element to the flowing fluid is measured as a function of the changes in voltage, from which shear stress can be inferred [12]. The sensor was fabricated by surface micromachining.
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Fig. 1. Principle of thermal shear stress sensor. The thermal element resides within a flow velocity boundary layer. The rate of heat loss from a heated resistive element to the fluid flow is dependent on the velocity profile in the flow boundary layer. A thermal boundary develops beneath the flow velocity boundary layer. In this situation, convective heat transfer is related to changes in resistivity of the sensor, from which wall shear stress is calibrated [28].

Fig. 2. Flexible intravascular sensors. (a) The sensor was bent or folded in a zigzag fashion without structural or functional damage. (b) The sensor was measured at 4 cm in length, 320 μm in width, and 21 μm in thickness. (c) The sensing element was positioned at the tip of the sensor, and the sensing element was made of 2-μm-wide Ti/Pt strip with a dimension of 280 μm.

Direct measurement of wall shear stress in the aorta allows for prediction of the presence of plaque formation. We proposed to translate the MEMS-based technology from in vitro investigation of vascular biology to that of in vivo approach. Our sensor design addressed the following: 1) hemocompatibility and hemostasis of the sensor function in the rabbit blood and 2) integration of sensors with the catheter to transmit voltage signals to the external electronics. We demonstrate that the flexible and biocompatible polymer-based sensor allowed for direct real-time shear stress analysis in the abdominal aortas of NZW rabbits. The direct shear stress measurement agreed with that of the computational hemodynamics (CFD) code within an acceptable range of experimental differences. Thus, the polymer-based sensors provided a basis to investigate the interplay between hemodynamics and arterial plaque formation with a potential for clinical diagnostics.

II. METHODS

A. Microfabrication

The sensor was fabricated using surface micromachining with biocompatible materials including parylene C, Ti, and Pt. To dovetail to the arterial circulation, we have fabricated the sensors with the following: 1) dry thermal growth of 0.3-μm SiO\(_2\) and deposition of a 1-μm sacrificial silicon layer using electron-beam (e-beam) evaporator; 2) deposition and patterning of Ti/Pt layers with thickness of 0.06 μm/0.015 μm for the sensing element with e-beam evaporator; 3) deposition of 9-μm parylene C with parylene vacuum coating system (PDS2010, Specialty Coating Systems, Inc., IN); 4) deposition and patterning of a metal layer of Cr/Au for electrode leads (2 μm) with e-beam evaporator; 5) deposition and patterning of another thick layer of parylene C (12 μm) to form the device structure; and 6) etching the underneath silicon sacrificial layer with XeF\(_2\) dry etching system leading to the final device (Fig. 3). The resulting sensor bodies were 4 cm in length, 320 μm in width, and 21 μm in thickness (Fig. 2). The fabrication process illustrates the application of Ti and Pt as the heating and sensing elements [Fig. 3(f)]. The Ti/Pt sensing elements (strip of 280 μm in length by 2 μm in width) were encapsulated with parylene which was in direct contact with the blood flow. They offer low resistance drift, large range of thermal stability, low 1/f noise in the absence of piezoresistive effect, and resistance to corrosion/oxidation [14], [15].
C. Calibration of the Polymer Sensors

Based on the heat transfer principle, the output voltage of the MEMS sensors under the constant current detection circuits was sensitive to the fluctuation in ambient temperature (Fig. 1). The temperature overheat ratio \( (\alpha_T) \) is defined as temperature variations of the sensor over the ambient temperature \( (T_0) \) [11]

\[
\alpha_T = \frac{T - T_0}{T_0}
\]

where \( T \) denotes the temperature of the sensor. The relation between resistance and temperature overheat ratios is expressed as

\[
\alpha_R = \frac{(R - R_0)}{R} = \alpha(T - T_0)
\]

where \( \alpha \) is the TCR. For shear stress measurement, we applied a high overheat ratio (~3%) by passing higher current and by generating a “hot” sensing element to stabilize the sensor. Calibration was performed in a 2-D flow channel for individual sensors to establish a relationship between heat exchange (from the heated sensing element to the flow field) and shear stress over a range of steady flow rates \( (Q_n) \) in the presence of rabbit blood flow at 37.8 °C (Fig. 7).

For a Newtonian fluid at steady state, the theoretical shear stress value in relation to the flow rate in the 2-D flow channel was established using the following formula based on the analysis of Truskey et al. [29]:

\[
\tau_{xy} \bigg|_{y=\frac{H}{2}, x=0} = \frac{6 - \sum_{n=0}^{\infty} \frac{48}{(2n+1)^2\pi^2 \cosh \left(\frac{(2n+1)\pi y}{H}\right)} \cdot \exp \left(\frac{(2n+1)\pi W}{2H}\right)}{WH^2 - \sum_{n=0}^{\infty} \frac{96H^3}{(2n+1)^2\pi^2 \cosh \left(\frac{(2n+1)\pi W}{2H}\right)} \cdot \exp \left(\frac{(2n+1)\pi W}{2H}\right)}
\]

\[
y \in \left( -\frac{H}{2}, \frac{H}{2} \right), \quad x \in \left( -\frac{W}{2}, \frac{W}{2} \right)
\]

where \( \tau_{xy} \) is the wall shear stress, \( \mu \) is the blood viscosity, and \( H \) and \( W \) are the height and the width of the flow channel, respectively \( (H/W = 0.433) \). The viscosity used in the aforementioned equation was obtained from the published data for rabbit blood [16]. The individually calibrated sensors were then deployed to the NZW rabbit’s aorta for real-time shear stress assessment.

D. In Vivo Assessment of Intravascular Shear Stress

We tested the feasibility of acquiring real-time shear stress measurements from the NZW rabbit’s aorta, specifically abdominal aorta and aortic arch (Fig. 8). Deployment of the polymer device into the rabbit’s aorta was performed in compliance with the Institutional Animal Care and USC Committee in the Heart Institute of Good Samaritan Hospital, Los Angeles, which is accredited by the American Association for Accreditation for Laboratory Animal Care.

Five male NZW rabbits (10–12 weeks, with mean body weight of 2105 ± 47 g) were acquired from a local breeder (Irish Farms, Norco, CA) and maintained by the USC vivaria in
accordance with the National Institutes of Health guidelines. After a seven-day quarantine period, the rabbits were anesthetized for percutaneous access according to the institutional review committee, and anesthesia was induced with an intramuscular injection of 100-mg/kg ketamine (Fort Dodge Laboratories, Inc.) combined with 1-mg/kg acepromazine (Aveco Company). A 23-gauge hypodermic needle and a 26-gauge guide wire were introduced into the left femoral artery via a cutdown. A rabbit femoral catheter (0.023" ID × 0.038" OD) was passed through the left femoral artery, where electrical coaxial wire packaged with sensor passed through. The circulatory system of the individual animals was heparinized (100 U/kg)
prior to sensor deployment. The catheters and needles were rinsed with heparin at 1000 U/mL, prior to the procedure.

Under the fluoroscopic guidance (Phillips BV-22HQ C-arm), the catheter integrated with the micro vascular device was deployed into the abdominal aorta above the renal arteries for shear stress measurements under fluoroscopy guidance (Fig. 5).

Periodic blood pressure measurement was obtained with an automated tail cuff (IITC/Life Science Instruments). The shear stress recordings were synchronized with the rabbit’s cardiac cycle via ECG (The ECGenie, Mouse Specifics). After measurement, the catheter was removed, and the femoral artery was tied off.

The position of the catheter in relation to the arterial wall was visualized via the contrast dye under fluoroscope. The output voltage of the sensor was high when the sensor was facing the blood, whereas the signal was attenuated as we steered the sensor toward the arterial wall. Unlike our previously published wall shear stress measurement for an in vitro bifurcating model [17], the unique feature of our catheter-based approach allowed for intravascular shear stress analysis in response to pulsatile blood flow in the abdominal aorta of the NZW rabbit.

E. Data Acquisition

The constant current circuit has been used to measure the shear stress in aorta. Electrical current of 0.9833 mA was input from a multimeter (HP34401A, Santa Clara, CA), which was working at resistance measurement mode. When the current passed through the sensor, the sensing element was heated up at an overheat ratio of ∼3% and had a temperature increase by ∼9 °C at its focal point. This localized heating will not harm the blood biological activities. The reasons are as follows: The sensing element, which is the hottest spot (9 °C above biological temperature), is very small at a few hundred micrometers in the flow direction, and the blood cells only need tens of milliseconds to pass the sensing element. Also, in arterial blood flow, blood cells aggregate toward the maximal velocity in the center and will be isolated from the hottest spot. The voltage across the sensing element was monitored by LabView data acquisition board (NI USB-6251, Austin, TX) and BNC adaptor board (BNC-2110, Austin, TX) which was connected to a laptop computer (Thinkpad T61, Lenovo, China). The main source of noise was electrical magnetic interference from the environment at 60 Hz. We analyzed the data to filter out 60-Hz noise and improved the noise floor from 1.2 to 0.5 mV. The sample rate for data acquisition was at 800 points/sec. The signal-to-noise ratio was 4.8.

F. Development of CFD Stimulation

1) Generation of 3-D Geometries and Meshes: Computational fluid dynamic (CFD) code was developed for non-Newtonian fluid to simulate real-time shear stress in the abdominal aorta and to compare with the experimental measurements. The luminal geometrical model of the rabbit abdominal aorta was constructed and meshed using a specialized preprocessing program GAMBIT (Fluent Inc., Gambit 2.3.16, Lebanon, NH) [18]. The local effects of branching arteries were assumed to be negligible. The meshed models were then imported into the main CFD solver FLUENT (Fluent Inc., Fluent 6.2.16, Lebanon, NH) [19] for pulsatile-flow simulation. The grid was generated by meshing the inlet surface using Pave scheme type to create unstructured mesh, followed by generating a volume mesh using Cooper scheme type to sweep the mesh node patterns that specified the inlet surface as the “source” faces. The model was composed of 174,510 cells which were primarily the wedge elements. For simulation of wall shear stress, boundary layers immediately
adjacent to the wall were constructed to generate sufficient information for characterization of the large fluid velocity gradients near the wall. The diameter of the rabbit abdominal aorta, \( D \), which was measured from angiography during sensor deployment, was set at 2.4 mm. The total length was set at 8.27 times of the diameter to provide sufficient entrance length for the flow to develop [20].

The pulsatile centerline flow velocity information was applied to compute a complex Fourier series approximation for the inlet flow rate pulse using Womersley solution [21]. The blood flow was simulated by applying the 3-D Navier–Stokes equations. The governing equations, including mass and momentum equations, were solved by the FLUENT software for laminar, incompressible, and non-Newtonian flow. The arterial wall of the rabbit abdominal aorta was assumed to be rigid and impermeable.

At the inlet of the abdominal aorta, a physiological flow waveform was introduced [22], [23]. The transient flow rate information was used to compute a complex Fourier series approximation for the pressure gradient pulse using Womersley solution [23]. This profile was implemented by the user-defined C++ code. The flow outlet was far downstream where traction-free condition was prescribed. This approach allowed the velocity profile to become a solution to the 3-D Navier–Stokes equations, and the velocity profile was propagated downstream along the aorta. No-slip boundary condition was implemented along the inner walls.

The flow field was initialized by propagating the constant time-averaged inlet velocity profile downstream into the computational domain. The initial pressure was set to zero in the entire domain, as were the two cross-stream velocity components. An iterative scheme that marched toward a converged solution was employed by FLUENT. The second-order implicit formulation of the solver was applied for the unsteady simulations. Second-order upwind discretization was applied for the governing equations. The pressure–velocity coupling was based on the SIMPLEC technique.

### III. Results

#### A. Properties of Polymer Sensors

The resistance of the sensing element was approximately 1.0 \( \Omega \), and the TCR was measured to be approximately 0.16%/°C (Fig. 9). These properties were compatible for in vivo analysis. The relation between the resistance and temperature was linear, suggesting that the TCR over this temperature range remained constant.

#### B. Calibration of the Polymer Sensors

To account for the non-Newtonian properties of the blood flow, we collected 10-mL blood from the NZW rabbits, added EDTA as anticoagulant, and then assessed the dynamic range of viscosity at 37.8 °C in a 2-D flow channel (Fig. 7). The blood viscosity decreased exponentially as the shear rates increased. At shear rate greater than 1000, the viscosity became asymptotic. The sensing element \( (240 \times 80 \times 0.1 \mu m^3) \) was positioned in a polydimethylsiloxane (PDMS) flow channel (1.32 mm high and 3.0 mm wide) for sensor calibration in the presence of rabbit blood flow at 37.8 °C. We obtained a nonlinear relation between changes in sensor output voltage as a result of heat dissipation from the sensing element to the blood flow field as a function of shear stress (Fig. 10). When the sensor reached the thermal equilibrium status, the following relation developed:

\[
P_r = P_b(\Delta T) + P_f(\Delta T, \tau)
\]

where \( P_r \) is the input electrical power, \( P_b \) is the power contained in the sensor body, and \( \Delta T \) is the change in temperature of the sensor in response to fluid flow. \( \Delta T \) was derived from the output voltage of the constant current-mode circuit, and \( \tau \) is the shear stress. This equation shows the direct relation between the output voltage and shear stress \( \tau \), as shown in Fig. 10. Thus, this calibration curve allowed for conversion of voltage signals acquired from the abdominal aorta to shear stress.

### IV. In Vivo Assessment of Intravascular Shear Stress

#### A. Conversion of Voltage Signals to Shear Stress in the Abdominal Aorta

The intravascular shear stress in the abdominal aorta was obtained using the calibration curve, as shown in Fig. 10.
The real-time output voltage was recorded at 800 sample points/sec and a noise floor of 0.5 mV in response to pulsatile flow at approximately 180 beats/min. Shown in Fig. 11(a) is a representative output voltage tracing over six cardiac cycles with an interval of 0.33 s/hear beat in the abdominal aorta. Shown in Fig. 11(b) is the corresponding intravascular shear stress profile over six cardiac cycles. Superimposed with the experimental shear stress value (green) is the CFD solution (red) [Fig. 11(c)]. The measured shear stress peaked at 44 dyn/cm² during systole and bottomed at 5 dyn/cm² during diastole. The noise floor was approximately 1 dyn/cm² [Fig. 11(c)]. The CFD solution revealed a peak wall shear stress value at 48 dyn/cm². Despite the distinct waveforms between the intravascular shear stress (experimental) and wall shear stress (CFD simulation), the two peak values varied by 9%, and the waveform profiles overlapped within the range of acceptable experimental errors for wall shear stress measurement [4].
V. DISCUSSION

In this paper, we demonstrate the first flexible polymer sensors to acquire real-time shear stress measurement in vivo with a potential for clinical applications. The feasibility to translate micro device for in vivo real-time analysis represents an advance in the field of MEMS technology to contribute to the understanding of hemodynamics and vascular disease. Our sensor design successfully addressed the following: 1) hemocompatibility and hemostasis of the sensor function in the rabbit blood and 2) integration of sensors with the catheter to transmit voltage signals to the external electronics. Distinct from our previously published cation of titanium and platinum for the sensing element and previous MEMS shear stress sensor prototypes were the application of titanium and platinum for the sensing element and the use of parylene C to provide both insulation of electrodes and flexibility of the sensors. Unlike our previously published wall shear stress measurement for an in vitro bifurcating model [8], the unique feature of our catheter-based approach allowed for intravascular shear stress analysis in response to pulsatile blood flow in the abdominal aorta of the NZW rabbit. Despite the varied waveforms, the intravascular shear stress analysis in the abdominal aorta overlapped with that of the wall shear stress by CFD code with experimental error at 9%. Due to the fact that the blood viscosity near the vessel wall is not precisely known, the wall shear stress measurement can be off by 20%–50% [4]. Thus, the 9% error is within the range of acceptable shear stress measurement.

Several reasons accounted for the distinct waveforms between the experimental and CFD solutions. The experimental data were acquired from anesthetized rabbits with an average heart rate of 180 beats/min. The anatomy of the rabbit abdominal aorta contained multiple branches (7, 8, and 9 in Fig. 8). The focal effects of small branches were assumed to be negligible for the CFD code. Another uncertainty in calibrating the sensors in the presence of non-Newtonian fluid is viscosity. In considering the rheological properties of blood, the viscosity obtained for the rabbit blood varied under the conditions of measurement such as the use of viscometer, presence of EDTA to prevent coagulation of blood, temperature, and hematocrit ratio (ratio of volume of red blood cells to volume of the whole blood) [24]. For a given hematocrit ratio, the apparent viscosity of blood is less when measured in a viscometer than in conventional capillary tube viscometer [25]. Despite the use of published data for viscosity [16], the viscosity of blood was dependent on the diameter of aorta and shear rate in our measurement. The greater tendency of the red blood cells to accumulate in the axial laminae at higher flow rate is partly responsible for the phenomenon known as shear thinning. The third reason is the catheter-based sensor allowed for “intravascular” shear stress measurement, whereas the CFD codes simulated the “wall” shear stress measurement. Thus, both the anatomy of aorta and the apparent viscosity in the abdominal aorta influence the relative difference between our experimental measurement and the CFD codes.

The signal-to-noise ratio is important for in vivo application. The sensitivity of the MEMS sensors was experimentally measured by \( \Delta v/v \) or \( \Delta \tau/\tau \) from experimental data (calibration curves). \( v \) and \( \tau \) denote the voltage and the shear stress, respectively. The reported sensitivity by Chandrasekaran et al. [26] was in the range of 11 mV/Pa or 1.1 mV/(dyn/cm\(^2\)). The use of Ti and Pt as sensing element materials provides biocompatibility but accounts for a maximum sensitivity of 0.35 mV/(dynes/cm\(^2\)).

Both polysilicon and platinum have been used as the sensing elements of the MEMS sensors. Polysilicon is an ideal semiconductor because of the following reasons: 1) uniform deposition property; 2) a relatively high TCR; and 3) a high resistance (when undoped) as a sensing element. Therefore, the sensitivity of polysilicon-based shear stress sensor is high. In contrast, titanium (Ti) and platinum (Pt) have lower impedance or relatively lower sensitivity. However, Ti and Pt harbor low 1/f noise, in the absence of piezoresistively induced pressure signal, in the polysilicon-based resistor. Furthermore, Ti and Pt are resistant to corrosion and oxidation. They are thermally stable over a wide range of temperature. The low deposition temperature is compatible with parylene technology. Unlike the polysilicon that is uniformly deposited by low-pressure chemical vapor deposition at 600 °C, platinum is typically deposited by either e-beam evaporation or electroplating at room temperature (Fig. 1). Therefore, the microfabrication for our polymer-based sensors is less complicated compared with that for the previous MEMS shear stress sensors [27].

In summary, this pilot study enabled us to assess the characteristics of shear stress; namely, spatial and temporal variations and further enabled us to evaluate potential pitfalls surrounding issues with microfouling, hemocompatibility, and device noise in the animal models. The feasibility of translating the MEMS device for real-time and dynamic analysis paves the way to conduct long-term follow-up of our animal model in response to hypercholesterolemic diet and therapeutic intervention. In our future investigation, the changes in intravascular shear stress signal and waveforms will be compared prior and after high fat diet in the abdominal aorta above renal arterial bifurcation in our NZW rabbit model. Also, the simultaneous application of abdominal ultrasound will be used to further delineate the cross section of the aorta for the position of the sensor. The long-term goal is to embrace both physical and biochemical analyses of vascular hemodynamics to predict the individuals at risk for abdominal aortic aneurysm, acute coronary syndromes, and strokes.

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